

Steps in the NYISO Large Facility Interconnection Process¹
 (Applicable to Generating Facilities above 20 MW and Merchant Transmission)
 (Revised 6/1/2007)

Step	Description / Action	By Whom	By When
A.	Interconnection Request (IR)		
1.	Submittal of Interconnection Request to NYISO. (§3.1 & 3.3.1)	Developer	N/A
2.	Determine validity or deficiencies of IR. Acknowledgment of IR and notification of Connecting Transmission Owner (CTO). (§3.3.2)	NYISO	within 5 b-days of receipt of IR
3.	If notified of deficiencies, provide additional required information to the NYISO. (§3.3.3)	Developer	within 10 b-days of receipt of notice ²
B.	Feasibility Study (FES)		
4.	Provide form Feasibility Study Agreement (FESA) to Developer and CTO. (§6.1)	NYISO	simultaneously with acknowledgment of valid IR (see step 2)
5.	Schedule Scoping Meeting with Developer and CTO. (§3.3.4)	NYISO	within 10 b-days of receipt of valid IR
6.	Hold Scoping Meeting. (§3.3.4)	NYISO, CTO & Developer	within 30 c-days of receipt of valid IR
	<i>As a result of the Scoping Meeting, the NYISO, CTO and Developer may agree to forego the FES and proceed directly to the System Reliability Impact Study. (§6.1, ¶4)</i>		
7.	Designation of Point(s) of Interconnection (POI). (§6.1)	Developer	within 5 b-days of Scoping Meeting
8.	Complete and sign FESA. Tender FESA to Developer. (§6.1)	NYISO & CTO	within 5 b-days of designation of POI
9.	Deliver executed FESA, \$10,000 deposit, and required technical data to NYISO. (§6.1)	Developer	within 30 c-days of receipt of FESA ²
10.	Conduct study and provide FES report to Developer. (§6.2 & 6.3)	NYISO (& CTO)	within 45 c-days of receipt of executed FESA (or by the ECD ³)
11.	Schedule and hold study report meeting with Developer and CTO. Invite Affected Systems. (§6.3.1)	NYISO	within 10 b-days of provision of study report to Developer
C.	System Reliability Impact Study (SRIS)		
12.	Provide form SRIS Agreement (SRISA) to Developer and CTO. (§7.1)	NYISO	simultaneously with delivery of FES report (see step 10)

¹ Summary of the basic steps described in **Attachment X - NYISO Standard Large Facility Interconnection Procedures**. These procedures were approved by the FERC, and went into effect on August 6, 2004.

² Failure to provide required items to the NYISO within the allotted time shall be considered withdrawal of the Interconnection Request.

³ ECD = Estimated Completion Date

Step	Description / Action	By Whom	By When
13.	Provide cost and time estimates for completion of the SRIS to Developer. (§7.1)	NYISO	within 3 b-days of FES results meeting
14.	Execute SRISA and deliver executed SRISA, demonstration of site control, and \$50,000 deposit to the NYISO. (§7.2)	Developer	within 30 c-days of receipt of SRISA ⁴
15.	If Developer fails to provide demonstration of site control, notify Developer of deficiency. (§7.2)	NYISO	within 5 b-days of receipt of executed SRISA.
16.	If notified of a deficiency, provide additional required information to the NYISO. (§7.2)	Developer	within 10 b-days of receipt of notice ⁴
17.	Prepare a Scope for the SRIS with the Developer, CTO, and Affected Systems. Submit the Scope to TPAS for review and to the OC for approval. (§7.3)	NYISO	as soon as possible after receipt of executed SRISA and required technical data
18.	Conduct study in coordination with the CTO and Affected Systems and provide SRIS report to Developer. (§7.3 & 7.4)	NYISO	within 90 c-days of receipt of executed SRISA (or by the ECD)
18b.	Optional Interconnection Study (OIS) – If requested, execute an OIS Agreement with the Developer and conduct the OIS concurrently with the SRIS. (§10.)	NYISO	<i>within the timeframe specified in the OIS Agreement</i>
19.	Schedule and hold SRIS report meeting with Developer and CTO. Invite Affected Systems. (§7.5)	NYISO	within 10 b-days of provision of study report to Developer
20.	Submit SRIS report to TPAS for review and to the OC for approval. (§7.4)	NYISO	Upon agreement of the Parties with the study results.
D.	Facilities Study (FS) and Cost Allocation		
21.	Provide form FS Agreement (FSA) to Developer and CTO. (§8.1)	NYISO	Upon OC approval of the SRIS.
22.	Provide cost and time estimates for completion of the FS to Developer. (§8.1)	NYISO	within 3 b-days of SRIS results meeting.
23.	Execute FSA and deliver executed FSA, required technical data, and deposit (greater of \$100,000 or estimated monthly cost) to the NYISO. (§8.1)	Developer	within 30 c-days of receipt of FSA ⁴
	<i>After execution of the FSA, the Developer may request negotiation of the terms of the draft Interconnection Agreement (LGIA) and appendices. (§11.2)</i>	<i>NYISO, CTO & Developer</i>	<i>not more than 60 c-days after tender of the final FS report</i>
24.	Conduct Class Year FS in coordination with the CTO and Affected Systems and provide FS report to Developers. (§8.2 & 8.3)	NYISO	within the timeframe per Attachment S (or by the ECD)
25.	Schedule and hold study report meeting with Class Year Developers and CTOs. Invite Affected Systems. (§8.4)	NYISO	within 10 b-days of provision of study report to Developer

⁴ Failure to provide required items to the NYISO within the allotted time shall be considered withdrawal of the Interconnection Request.

Step	Description / Action	By Whom	By When
26.	Submit the Class Year FS report and Cost Allocation to TPAS for review and to the OC for approval. (<i>Att S, §IV.F.1. & IV.F.5.</i>)	NYISO	Upon agreement of the Parties with the study results
27.	Notice to NYISO regarding Acceptance or Non-Acceptance of Project Cost Allocation. (<i>Att S, §IV.F.8.</i>)	Each Class Year Developer	within 30 c-days of OC approval of FS report
28.	If one or more Developers do not accept their cost allocation, perform rounds of re-study and Decision Periods as necessary.	NYISO and Remaining Developers	per Attachment S
	Engineering & Procurement (E&P) Agreement (Optional) (§9.)	<i>Developer & CTO</i>	<i>Prior to execution of an Interconnection Agreement</i>
E.	Interconnection Agreement		
29.	Tender a form Interconnection Agreement (LGIA) with draft appendices to each generator Developer that accepted their Project Cost Allocation. (<i>§11.1</i>)	NYISO & CTO	Upon completion of the Attachment S Developer decision process.
30.	Execute and return completed draft LGIA appendices to the NYISO and CTO. (<i>§11.1</i>)	Developer	within 30 c-days of tender by NYISO & CTO
	<i>If negotiations of the LGIA fail, Developer has options to request filing an unexecuted LGIA or Dispute Resolution. (§11.2)</i>		
31.	Provide final LGIA to Developer. (<i>§11.2</i>)	NYISO & CTO	within 15 b-days of completion of negotiation process
32.	Provide to NYISO and CTO: (a) evidence of continued Site Control, or post \$250,000 additional security, (b) evidence of achievement of milestones. (<i>§11.3</i>)	Developer	within 15 b-days of receipt of the final LGIA from the NYISO & CTO.
33.	Provide to the NYISO and CTO either executed originals of the LGIA, or a written request to file an unexecuted LGIA. ⁵ (<i>§11.3</i>)	Developer	within 60 c-days of tender of the completed draft LGIA appendices
34.	File the LGIA with the FERC. (<i>§11.3</i>)	NYISO & CTO	within 10 b-days of receipt of executed LGIA or request to file unexecuted LGIA.
F.	Commencement of Interconnection Activities - Construction		
35.	Proceed in accordance with the terms of the LGIA subject to modification by the FERC. (<i>§11.4</i>)	NYISO, CTO & Developer	Upon filing of the LGIA with the FERC
36.	Proceed with construction of facilities in accordance with Section 12 of Attachment X.	CTO & Developer	by Developers' in-service dates or as agreed

⁵ If Developer fails to either execute the LGIA, request filing an unexecuted LGIA, or initiate Dispute Resolution within 60 calendar days after terminating negotiations, or within 60 days of tender of the completed draft LGIA appendices, the Developer's Interconnection Request shall be deemed withdrawn.